

## Self Determination and Certification Non-Discharge of Contaminated Storm Water

Form 3400-171 (R 3/01)

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Your facility may be more appropriately covered by the WPDES Tier 3 General Permit for Industrial Storm Water Discharges. The Tier 3 permit has fewer inspection and monitoring requirements when compared to the Tier 1 and Tier 2 storm water discharge permits; it is only available to those facilities that do not discharge contaminated storm water. This form allows you, the responsible person for the permitted facility, to certify to the Department that your facility has no discharges of contaminated storm water. The certification section of this form is authorized by s. NR 216.21(2)(c), Wis. Adm. Code.

Completion of this form is voluntary for industrial facilities covered by a storm water general or individual permit. The Department will use this form and other information you have provided to assess the potential for contamination of storm water at your facility. Personally identifiable information on this form may be used for other water quality program purposes.

This self determination is not automatic; the Department will need to concur with your certification. In most cases, this form and other information you have provided will allow the Department to either approve or deny your request for Tier 3 coverage. However, the Department may inspect your facility in order to make a final decision on tier status.

Please read the applicable sections in the accompanying exposure guidance. Answering "Yes" to a question will not necessarily negate your request since you may have alternative practices that eliminate exposure. Explain those situations where you answered "Yes" in an attached written narrative. We recommend taking photographs and enclosing them with your narrative to provide better explanation of exposure levels or control practices.

### Section I: FID Number

The FID and/or FIN number for your facility typically appears on the cover letter that accompanied your WPDES Tier 1 or Tier 2 General Permit for Industrial Storm Water Discharges. If you are unaware of your FID/FIN number you may leave this blank.

Facility Identification (FID) and/or FIN Number (if known)

### Section II: Facility / Information

Facility Name as it appears on your permit cover letter or original application (Form 3400-151, 3400-152 or 3400-163)

County

Location Address

City

State

ZIP Code

Title

Telephone Number

### Section III: Exposure Checklist

Yes No

#### 1. Machinery and Equipment

- |                          |                          |   |
|--------------------------|--------------------------|---|
| <input type="checkbox"/> | <input type="checkbox"/> | a. Are material handling vehicles parked outdoors?              |
| <input type="checkbox"/> | <input type="checkbox"/> | b. Is industrial machinery or equipment located outdoors?       |
| <input type="checkbox"/> | <input type="checkbox"/> | c. Is portable industrial machinery or equipment used outdoors? |
| <input type="checkbox"/> | <input type="checkbox"/> | d. Is machinery or equipment cleaned outdoors?                  |
| <input type="checkbox"/> | <input type="checkbox"/> | e. Are vehicles maintained, cleaned or fueled outdoors?         |
| <input type="checkbox"/> | <input type="checkbox"/> | f. Is used or idle machinery or equipment stored outdoors?      |

#### 2. Materials or Products

- |                          |                          |  |
|--------------------------|--------------------------|--|
| <input type="checkbox"/> | <input type="checkbox"/> | a. Are there outdoor loading/unloading activities for materials or products?       |
| <input type="checkbox"/> | <input type="checkbox"/> | b. Are materials or products stored outdoors on a regular basis?                   |
| <input type="checkbox"/> | <input type="checkbox"/> | c. Are materials or products stored outdoors temporarily?                          |
| <input type="checkbox"/> | <input type="checkbox"/> | d. Are materials or products handled/stored on immediate access roads/railways?    |
| <input type="checkbox"/> | <input type="checkbox"/> | e. Are there uncovered loading docks?  |
| <input type="checkbox"/> | <input type="checkbox"/> | f. Are there storm sewer inlets at the base of your loading docks?                 |
| <input type="checkbox"/> | <input type="checkbox"/> | g. Are manufacturing activities conducted outdoors?                                |
| <input type="checkbox"/> | <input type="checkbox"/> | h. Are there storage tanks, drums, pallets, or containers outdoors?                |
| <input type="checkbox"/> | <input type="checkbox"/> | i. Were there spills or leaks outdoors in the last three years?                    |
| <input type="checkbox"/> | <input type="checkbox"/> | j. Are there materials or products from past industrial activity located outdoors? |

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## Section III: Exposure Checklist, *continued*

Yes No

### 3. Waste Materials

- |                          |                          |   |
|--------------------------|--------------------------|---|
| <input type="checkbox"/> | <input type="checkbox"/> | a. Are waste materials used outdoors?   |
| <input type="checkbox"/> | <input type="checkbox"/> | b. Are waste materials placed outdoors in other than covered water-tight dumpsters?   |
| <input type="checkbox"/> | <input type="checkbox"/> | c. Is there uncovered rooftop equipment?  |
| <input type="checkbox"/> | <input type="checkbox"/> | d. Are there visible deposits of particulates or residuals near roof or side vents?   |
| <input type="checkbox"/> | <input type="checkbox"/> | e. Were there any spills or leaks associated with the maintenance of stacks or air exhaust systems in the last three years? |
| <input type="checkbox"/> | <input type="checkbox"/> | f. Is process wastewater disposed of outdoors?  |

## Section IV: Certification

I certify that there are no discharges of contaminated storm water from the facility identified in this document. Additionally, I certify under penalty of law that this document was prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gather and evaluate the information submitted. Based on my inquiry of the person, persons, who manage the system, or those persons directly responsible for gathering the information, the information contained in this document is, to the best of my knowledge and belief, true, accurate and complete. I am aware that there are significant penalties for providing false information, including the possibility of fine and imprisonment.

Signature

Date Signed

Typed / Printed Name

Title

Note: Signature Requirements. All notices of information shall be signed by the permittee as follows:

1. for a corporation, by a principal executive officer of at least the level of Vice President, or a duly authorized representative having overall responsibility for the operation covered by this permit;
2. for a unit of government, a principal executive officer, a ranking elected official, or other duly authorized representative;
3. for a partnership, by a general partner; by a general partner; for a sole proprietorship, by the proprietor;
4. for a limited liability company, by a member or manager.

## Mailing

Unless otherwise directed, mail this completed form to the DNR office listed by county as follows:

### NORTHERN REGION COUNTIES

Ashland	Lincoln	DNR Service Center
Barron	Oneida	1401 Tower Ave.
Bayfield	Polk	Superior, WI 54880
Burnett	Price	
Douglas	Rusk	Phone: 715-392-7988
Florence	Sawyer	
Forest	Taylor	
Iron	Vilas	
Langlade	Washburn	

### NORTHEAST REGION COUNTIES

Brown	Marquette	DNR Northeast Region
Calumet	Menominee	P.O. Box 10448
Door	Oconto	Green Bay, WI 54307
Fond du Lac	Outagamie	
Green Lake	Shawano	Phone: 920-492-5800
Kewaunee	Waupaca	
Manitowoc	Waushara	
Marinette	Winnebago	

### WEST CENTRAL REGION COUNTIES

Adams	Marathon	DNR Service Center
Buffalo	Monroe	5301 Rib Mountain Rd.
Chippewa	Pepin	Wausau, WI 54401
Clark	Pierce	
Dunn	Portage	Phone: 715-359-4522
Eau Claire	St. Croix	
Jackson	Trempealeau	
Juneau	Vernon	
La Crosse	Wood	

### SOUTH CENTRAL REGION COUNTIES

Columbia	Iowa	DNR South Central Region
Crawford	Jefferson	3911 Fish Hatchery Rd.
Dane	LaFayette	Fitchburg, WI 53711
Dodge	Richland	
Grant	Rock	Phone: 608-275-3266
Green	Sauk	

### SOUTHEAST REGION COUNTIES

Kenosha	Sheboygan	DNR Southeast Region
Milwaukee	Walworth	P.O. Box 12436
Ozaukee	Washington	Milwaukee, WI 53212
Racine	Waukesha	
		Phone: 414-263-8500